

Date: August 2020

As part of our principles of fair and transparent data processing we would like to inform you about the specific circumstances of how we process personal data relating to you.

A) Controller of data processing

Accountable Controller according to and in line with data protection regulations:

DEG - Deutsche Investitions- und Entwicklungsgesellschaft mbH

Kämmergasse 22

D-50676 Köln

Telephone: + 49 221 4986-0

Telefax: + 49 221 4986-1290

Hereinafter referred to as: DEG

B.1) What is the purpose and legal basis of processing?

DEG collects and processes personal data whilst offering the service of our **DEG Customer Portal** in accordance with the provisions of the European General Data Protection Regulation (GDPR) and the German Federal Data Protection Act (BDSG):

- For the fulfilment of contractual obligations:
The processing of data whilst operating our **DEG Customer Portal** service takes place for the provision of bank business and financial services as part of the performance of our contracts or for the performance of pre-contractual measures which take place on request.
- Based on a balance of interests in accordance with Art. 6 Paragraph 1 (f) of the GDPR:
If required we shall process your data beyond the actual fulfilment of the contract safeguarding legitimate interests of DEG or third parties. This includes the processing of personal data to guarantee the proper operation of our **DEG Customer Portal**, maintaining the security of the involved IT systems, and for creating evidence and trails of executed transactions within the **DEG Customer Portal**.

B.2) Which personal data is processed and where does it come from?

DEG processes personal data that we collect during the provision of the **DEG Customer Portal** service and your service usage which

- have been received from their customers in the course of the business relationship (name email address, mobile phone number),
- have been created during your service usage (IP address, executed transactions within the **DEG Customer Portal** such as failed log-in attempts, document up- and downloads, changes to authorization profiles)
- have been received with consent from you as an user (personal data added to your personal profile).

B.3) Which recipients will receive the aforementioned personal data?

Access to your data within DEG will be granted to all departments which require your data for the fulfilment of our contractual and legal obligations. Contracted service providers and vicarious agents may also receive data for these purposes.

Such companies are from the fields of

- sourcing of IT infrastructure
- IT support

If one of the aforementioned recipients of personal data processes data on behalf of DEG, this shall only take place on the basis of reasonable contractual agreements transferring the data protection obligations imposed on DEG to the recipient as well.

If the data is made available to one of the aforementioned recipients in a manner that they themselves are to be held responsible for the further data processing, reasonable contractual measures for the protection of your data shall also be taken in this case and the transmission shall only take place if it is legally justified.

B.4) Is personal data transmitted to a third country or an international organisation?

A data transmission to agencies and institutions in countries outside the European Union and the European Economic Area (third countries) will take place, if it is required by law (e.g. tax notification obligations), or, in other cases, you have granted us your consent.

A transmission is permitted if the European Commission decides that an adequate level of protection exists in a third country. If the Commission has not made such a decision, DEG or any contracted service provider must not transfer personal data to a third country or an international organisation unless suitable guarantees have been provided for (e.g. standard data protection clauses which are adopted in a certain procedure by the Commission or the supervisory authority) and enforceable rights and effective legal remedies are available.

For a list of countries which offer a level of data protection comparable to the European Union and for which the aforementioned adequacy decision has been adopted, please visit the following link:

https://ec.europa.eu/info/law/law-topic/data-protection/data-transfers-outside-eu/adequacy-protection-personal-data-non-eu-countries_de

DEG uses service providers for certain tasks, who also usually use service providers who might have their company headquarters, parent company or data centres in a third country. DEG has contractually agreed with these service providers that basic data protection principles in compliance with the European level of data protection shall always be concluded with these indirect contract partners.

B.5) How long will the aforementioned personal data be stored?

DEG processes and stores your personal data for as long as it is required for the fulfilment of the contractual and legal obligations.

If the data is no longer required for the fulfilment of contractual or legal obligations, it shall be regularly deleted.

Temporary further processing of data can be necessary in the following cases, preventing the aforementioned deletion:

- The fulfilment of retention requirements under commercial law and tax law in accordance with the German Commercial Code (HGB), the German Fiscal Code (AO), the German Banking Act (KWG), the Anti Money Laundering Act (GwG) and the Securities Trading Act (WpHG). The stipulated periods for retention or documentation in these cases are two to ten years,

- The preservation of evidence as part of the statutory rule on limitation periods. According to the German Civil Code (BGB) the limitation period can be up to 30 years, although the indicative period is three years.

B.6) Is there an obligation to provide the aforementioned personal data?

As part of the establishment of a business relationship with you / your company we require certain personal related data from you in order to provide **DEG Customer Portal** service.

Without such personal data we are not able to communicate with you through the **DEG Customer Portal** service.

B.7) Does DEG use means of automated decision making?

No, DEG does not use such processes in the establishment and management of its business relationships.

B. 8) Does profiling take place?

No, DEG does not make use of profiling techniques in the course of the **DEG Customer Portal** service.

C) Your rights

You have the right to obtain information about the data which is stored about you. If you deem this data to be incorrect or no longer required because the original purpose for which you provided us with your data expired, you have the right to claim rectification or deletion or a restriction of processing. We shall inform you separately about the specific circumstances of the process after you will have submitted your application. The application, which does not require a specific form, can be submitted to the data protection officer by email/post via the contact details named below.

Furthermore, there is also the right to file a complaint with the relevant data protection supervisory authority.

D) Data processing based on your consent

A consent to data processing that you have granted to DEG may be revoked at any time.

This shall also apply to the revocation of declarations of consent which have been granted before the General Data Protection Regulation came into effect, thus before 25 May 2018.

The revocation, which does not require a specific form, may be sent by email/post for the attention of the data protection officer via the contact details named above.

Please note that a revocation is only effective for the future from the date of issue. Processing which has been carried out before the revocation shall remain unaffected.

E) Information regarding your right to object in accordance with Article 21 of the General Data Protection Regulation (GDPR)

E.1) Case-by-case right to object

You have the right to object, based on grounds relating to your particular situation, at any time to the processing of personal data concerning you and resting upon Article 6 Paragraph 1 letter e of the GDPR (data processing in the public interest) and Article 6 Paragraph 1 letter f of the GDPR (data processing based on a balance of interests); this shall also apply to profiling based on the above provisions.

If you object, your personal data shall no longer be processed by DEG. This shall not apply if DEG can demonstrate compelling legitimate grounds for the processing that override your interests, rights and freedoms, or the processing is required for the establishment, exercise or defence of legal claims.

E.2) Right to object to the processing of data for direct marketing purposes

In individual cases we shall process your personal data for direct marketing purposes. You have the right to object at any time to the processing of personal data relating to you for the purpose of such marketing; this shall also apply to profiling to the extent that it is related to such direct marketing.

If you object to processing for direct marketing processes, your personal data shall no longer be processed by DEG for such purposes.

The objection in accordance with E.1) and/or E.2), which does not require a specific form, can be sent by email/post to the data protection officer via the contact details named below.

If you have any questions, which concern the handling of your personal data, you can contact our data protection officer at any time.

DEG - Deutsche Investitions- und Entwicklungsgesellschaft mbH

FAO the Data Protection Officer

Kämmergasse 22

D-50676 Köln

datenschutz@deginvest.de